# ISTA-Guideline for Publications resulting from H2020 projects

All projects funded by H2020 (EU Framework Programme for Research and Innovation) need to follow the rules on publications defined in the Grant Agreement. The purpose of this guideline is to provide an overview of H2020 publication rules as applicable to ISTA employees for the following project types: ERC projects, Marie Sklodowska-Curie projects and other H2020 (collaborative) projects.

**ACKNOWLEDGEMENTS**

Any communication, documentation and publication activity related to the project has to acknowledge the financial support of the European Union. This requires **displaying the EU emblem**[1] and **including the following text**:

1. For ERC grants: *"This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No [number])"*

2. For Marie Sklodowska-Curie grants: *"This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie Grant Agreement No. [Number]."*

3. For any other H2020 grants: *"This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Grant Agreement No. [Number]."*

**OPEN ACCESS (OA) REQUIREMENTS:**

The European Commission **requires and supports** all H2020-funded authors to **make their peer-reviewed research results open access** (i.e. free of charge, online access for any user). This can be fulfilled by using either the **Gold OA** option, **Hybrid OA** option or **Green OA** option.

The Green OA option is free of charge for the authors, but associated with embargo periods that delay open access, and usually limited to manuscript versions. For Gold and Hybrid OA options, the open access is provided immediately, but publication fees are usually to be paid by the authors/their host institutions or funding agencies.

In H2020, the **OA publication costs are eligible and reimbursed by the European Commission, if incurred during the duration of the project** (for more details see below).

> **Before submission**: Check the embargo requirements of the journal and/or publisher via SHERPA/ROMEO **before the submission of the manuscript**. For H2020 projects, **the embargo period may not exceed 6 months**. Should the embargo period be longer, choose the paid OA option without embargo (Hybrid OA), if provided by the publisher, or a Gold OA journal. You can always opt for Hybrid OA even if the embargo period is shorter than 6 months.

**Green OA Option**

In order to provide open access to your publication in a subscription journal, you have to self-deposit the **accepted** manuscript in a repository. Make sure to deposit always **before or (latest) at the date of publication**; the embargo period will be taken care of by the repository.

The researchers should **deposit their publications in the institutional repository ISTA Research Explorer**. Additionally for **ERC grants**, the use of **subject-specific repositories is strongly recommended**, e.g. PubMed Central for publications in the Life Sciences, arXiv for publications in the Physical Sciences and Engineering.

Bibliographic metadata should contain:

For all publications:

    a. Persistent identifier (e.g. DOI)
    b. Grant agreement number
    c. Publication date

Additional to that:

    a. For MSCA grants:
       - the terms "Marie Skłodowska-Curie Actions"
       - the name of the project and acronym

    b. For other H2020 (collaborative) grants:
       - the terms "EU" and "Horizon 2020"
       - the name of the project and acronym

**Hybrid OA Option**

If you want to make your article open access without any delay or if the embargo period of your preferred subscription journal **exceeds 6 month**, check whether the publisher offers a possibility to make your contribution OA without the embargo period (Hybrid OA).

The costs of this paid option **are eligible for H2020 projects, if incurred during the duration of your project** (and subject to the budget availability). There are no maximum funding limits for the OA costs, and all types of Creative Commons (CC) licenses are eligible.

Remember to deposit the publication in IST Research Explorer at the latest **on the date of publication**. As mentioned above, for ERC-funded publications, the authors are strongly encouraged to make their peer-reviewed papers open access also **in subject-specific repositories**.

To deposit in the ISTA repository, it is sufficient to enter the bibliographic data in the ISTA Research Explorer. **In case you publish under a CC license (which is mostly the case), this fulfills all OA requirements as the ISTA library will upload your publication to the repository.**

**Gold OA Option**

The European Commission supports publishing in a **fully open access journal** (see the [Directory of Open Access Journals (DOAJ)](#).

Even if you are publishing in a Gold OA journal, open access has to be provided via a repository. Remember to deposit the publication in a repository **at the latest on the date of publication**. Similarly to Hybrid OA publications, it is sufficient to enter the bibliographic data in IST Research Explorer (IST library will upload the publication to the IST repository). For ERC-funded publications, it is recommended that the authors make their peer-reviewed papers open access also **in subject-specific repositories**.

The costs of publishing in a Gold OA journal are eligible for H2020 projects, if incurred during the duration of the project.

> Please note that for H2020-funded publications, **the obligation to provide open access continues after the end of the project**!
>
> For publications **resulting from ERC grants** and published after the end of the project, if authors cannot provide open access without incurring additional costs, they may choose Green OA **with an extended embargo period**. All other types of **H2020 grants** should **keep the maximum embargo period of 6 months** for green OA publications even after the end of the project.
>
> **In case you want to publish in a Gold OA journal and there is no other funding option, you can apply for the internal [ISTA OA Fund](#)** (for a contribution up to 50% of the total OA costs).

**OA to Research Data**

In all types of H2020 projects the beneficiary must **aim to deposit the research data** (at the same time as the publication) needed to validate the results presented in the deposited scientific publications. For most H2020 projects it is not yet an obligation, **but the rules will change as of the 2017 calls for proposals, as described below**.

In H2020 a "[Pilot on Open Research Data in H2020](#)" was established in Art. 29.3 of the Model Grant Agreement, first only for some of the H2020 areas. Projects that didn't opt-out of this Pilot are obliged to make research data generated by the respective H2020 project accessible with as few restrictions as possible. However, the possibility to protect sensitive data, as well as the possibility to opt-out of this Pilot completely at any stage (before or after the signature of the Grant Agreement) still remains.

The Pilot on Open Research Data is going to be **extended to all H2020 thematic areas[2]**. This means that for **projects based on 2017 Work Programme onwards**, Article 29.3 will be included in the Grant Agreement as the default option. There will always be an option to opt-out, but it will have to be **justified**. Art 29.3 concerns also ERC grants, with one difference – the ERC grantees are **not required to provide any reason for opting out**.

---

[2] Except the following funding schemes: Co-Fund, Prizes, ERA-NET Co-Fund, SME-Instrument/Phase 1, ERC Proof of Concept

You have the **possibility to deposit your research data in the institutional data repository** ISTA Research Explorer where it will be linked to your publication and provided with a DOI. If you deposit the data before the publication you can in addition cite the data in the paper.

**References:**

https://erc.europa.eu/sites/default/files/document/file/ERC_Guidelines_Implementation_Open_Access.pdf

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-dissemination_en.htm